

**Escrow Commission**  
**Enforcement Unit Quarterly Report**  
**January 1, 2015 – March 31, 2015**

<b>Complaints</b>	<b>EARA Quarter</b>	<b>EARA 2015</b>	<b>All Industries Quarter</b>
Received in period	4	4	219
Closed in period	13	13	213
Open as of 3/31/2015 (all industries)	n/a	n/a	157

**Investigations**

Investigations – Pending (EARA only)	7
Investigations – Closed in Period (all industries) (includes investigations moved to Pending Enforcement status)	50

<b>Enforcement Actions 1st Quarter</b>	
CCSA	11
CLA	9
EARA	1
UMSA	3
MBPA	15

**Enforcement Actions – EARA**

<b>Statement of Charges (issued in period)</b>	<b>0</b>
<b>Consent Orders (issued in period)</b>	<b>0</b>
<b>Temporary Order to Cease and Desist</b>	<b>0</b>
<b>Criminal Referrals</b>	<b>0</b>

**Final Orders (issued in period)****1****Main Street Escrow Inc. 2/24/2015 [C-11-0832-13-FO01]**

The Department issued a Statement of Charges against Main Street Escrow and Pauline Weber for failure to notify the Department of office closure, maintain Fidelity Bond and Surety Bond, submit monthly reports, maintain accurate records, maintain sufficient account balances in the company trust account, pay examiner fee, and follow closing instructions. The Department had previously entered into a Consent Order with Pauline Weber, but the company had not requested a hearing so the Department entered a Final Order against Main Street Escrow Inc. ordering:

- \$20,000 fine
- \$2,225 Investigation Fee
- Maintain all records for 6 years

Shortly thereafter, Ms. Weber claimed she had intended her Consent Order to also apply to the company. Main Street Escrow then provided the Department with a Declaration of financial condition and inability to pay the fine, examination fee and investigation fee sought in the Statement of Charges and the Final Order was replaced by a Consent Order with Main Street Escrow dated 4/1/2015, withdrawing the Final Order, acknowledging Main Street Escrow's Escrow Agent License expired 12/31/2010, and prohibiting Main Street Escrow from the escrow industry for 10 years and from applying for an escrow agent license. The company trust account has been reconciled and funds escheated to the Department of Revenue in the manner required by RCW 63.29.

**Civil Actions: (injunction, enforce subpoena)****1****Corner Escrow Inc. 1/15/2015 – Referral to Attorney General for enforcement of Subpoena**