



# PREPROPOSAL STATEMENT OF INQUIRY

**CR-101 (June 2004)**  
(Implements RCW 34.05.310)  
Do **NOT** use for expedited rule making

**Agency:** Department of Financial Institutions, Division of Banks

**Subject of possible rule making:** Implement the authority for semi-annual assessments, hourly examination fees, and other charges for work and services of the Division of Banks, or on its behalf, which have been authorized and also re-authorized by the Legislature pursuant to ESHB 1325 (2013 c 76); consolidate all rulemaking regarding semi-annual assessments, hourly examination fees, and other fees and charges in Chapter 208-544 WAC; make technical changes to Chapter 208-544 WAC for purposes of clarity (including plain-language amendments and additions).

**Statutes authorizing the agency to adopt rules on this subject:** RCW 43.320.040; RCW 30.04.030; RCW 30.04.070 (as amended by 2013 c 76 s 2); 2013 c 76 s 32 (repealing RCW 30.08.095). Complies with OFM Guidance 3.a. and e.

**Reasons why rules on this subject may be needed and what they might accomplish:** The proposed rulemaking would amend Chapter 208-544 WAC to (1) provide for semi-annual assessments of non-depository trust companies, (2) adjust the hourly examination fee schedule and other charges for all of the Division of Banks' regulated institutions, (3) establish and/or clarify miscellaneous service charges, and (4) make technical changes for purposes of clarity (including plain-language amendments and additions), and promote equalization as between different types of chartered entities and based on considerations of asset size, examination hours, and services provided. It would consolidate all provisions related to semi-annual assessments, hourly examination fees, and other charges for work and services in a single location in Chapter 208-544 WAC.

**Identify other federal and state agencies that regulate this subject and the process coordinating the rule with these agencies:**

Not applicable.

**Process for developing new rule (check all that apply):**

- Negotiated rule making
- Pilot rule making
- Agency study
- Other (describe)

**How interested parties can participate in the decision to adopt the new rule and formulation of the proposed rule before publication:**

(List names, addresses, telephone, fax numbers, and e-mail of persons to contact; describe meetings, other exchanges of information, etc.)

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**DATE**  
7/29/2013

**NAME (TYPE OR PRINT)**  
Richard M. Riccobono

**SIGNATURE**

**TITLE**  
Division of Banks Director

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TIME: 9:11 AM**

**WSR 13-16-027**