



**STATE OF WASHINGTON  
DEPARTMENT OF FINANCIAL INSTITUTIONS**

**SECURITIES DIVISION**

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**Notice of Preproposal Statement of Inquiry  
Regarding Securities Registration Renewal Applications**

**May 1, 2015**

As you are on our interested persons list, we are writing to inform you that the Securities Division is considering creating rules to codify the filing requirements for securities registration renewal applications. The Securities Division frequently receives inquiries from securities issuers and attorneys asking how to apply for renewal. The creation of rules on this topic for both qualification and coordination filings would provide securities issuers with useful information.

Please find attached a copy of the Preproposal Statement of Inquiry (Form CR-101) that the Securities Division filed with the Office of the Code Reviser on April 21, 2015 to commence the rulemaking process. Prior to the adoption of any final rules, the Division will circulate a Notice of Proposed Rule-Making on Form CR-102 accompanied by the text of the proposed rules. If you have comments at this time, however, you may submit them to the address set forth in the accompanying notice.

If you have any questions regarding the rulemaking, please contact Jill Vallely by telephone at (360) 902-8801 or by e-mail at [jill.vallely@dfi.wa.gov](mailto:jill.vallely@dfi.wa.gov).