## STATE OF WASHINGTON DEPARTMENT OF FINANCIAL INSTITUTIONS SECURITIES DIVISION

IN THE MATTER OF DETERMINING whether there has been a violation of the Securities Act of Washington by Metropolitan Investment Securities, Inc. securities salespersons:

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Gordon E. Adams; Suzanne T. Adams;
Elizabeth Adams Armstrong; Ross E. Bruner;
Steve F. Haug; Gary T. Hundeby; Lori L.
Masterson; Ronald H. Mayfield; Michael H.
McMillen; Theodore R. Metoyer; Lamar J.
Miller; Annette O. Miller; Randal M.
Saccomanno; Ronald J. Saccomanno; and
Ryan S. Saccomanno,

Order Number S-04-041-05-CO10

CONSENT ORDER AS TO RONALD H. MAYFIELD

Respondents.

## **INTRODUCTION**

On October 27, 2004, the Securities Division, Department of Financial Institutions, State of Washington (the "Securities Division"), issued Statement of Charges S-04-041-04-SC01 (hereinafter referred to as the "Statement of Charges") against Respondents Gordon E. Adams, Suzanne T. Adams, Elizabeth Adams Armstrong, Ross E. Bruner, Steve F. Haug, Gary T. Hundeby, Lori L. Masterson, Ronald H. Mayfield, Michael H. McMillen, Theodore R. Metoyer, Lamar J. Miller, Annette O. Miller, Randal M. Saccomanno, Ronald J. Saccomanno, and Ryan S. Saccomanno. Pursuant to the Securities Act of Washington, RCW 21.20, the Securities Division and Respondent Ronald H. Mayfield do hereby enter into this Consent Order in settlement of the above captioned matter. In the Statement of Charges, the Securities Division made certain allegations and conclusions, set forth under the headings "Tentative Findings of Fact" and "Conclusions of Law." Respondent Ronald H. Mayfield neither admits nor denies

CONSENT ORDER AS TO RONALD H. MAYFIELD

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the Tentative Findings of Fact and Conclusions of Law, which are incorporated by reference into this Consent Order.

## **CONSENT ORDER**

Based upon the foregoing,

IT IS AGREED AND ORDERED that Ronald H. Mayfield shall cease and desist from violation of RCW 21.20.010, the anti-fraud section of the Securities Act of Washington.

IT IS FURTHER AGREED AND ORDERED that Ronald H. Mayfield shall not make application for nor be granted a broker-dealer, investment adviser, securities salesperson, or investment adviser representative license from the date of entry of this Consent Order by the Securities Administrator.

11 IT IS FURTHER AGREED AND ORDERED that Ronald H. Mayfield shall be subject to a fine 12 in the amount of Thirty Thousand Dollars (\$30,000), with the entire amount being suspended based on 13 the following: Ronald H. Mayfield's future compliance with this Consent Order; his supplemental filings 14 with the Securities Division demonstrating an inability to pay; and his representations to the Securities 15 Division concerning his poor physical health. In the event this Consent Order is violated, the Securities 16 Division will seek enforcement of the Consent Order pursuant to RCW 21.20.395.

IT IS FURTHER AGREED that the Securities Division has jurisdiction to enter this Consent
Order.

In consideration of the foregoing, Ronald H. Mayfield waives his right to a hearing on this matter and to judicial review of this matter pursuant to RCW 21.20.440 and Chapter 34.05 RCW.

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CONSENT ORDER AS TO RONALD H. MAYFIELD

DEPARTMENT OF FINANCIAL INSTITUTIONS Securities Division PO Box 9033 Olympia, WA 98507-9033 360-902-8760

1	SIGNED this <u>28th</u> — day of	March	, 2005.	
2	Approved for entry by:			
3	KEEFE, KING, & BOWMAN, P.S.			
4 5 7 8 9 10 11 12	/s/ James B. King James B. King, WSBA No. 8723 Attorney for Respondent Ronald H. M Signed by: /s/ Ronald H. Mayfield Ronald H. Mayfield THIS ORDER DATED AND ENTER		<u>5th</u> DAY OF <u>Apr</u>	<u>il</u> , 2005 BY:
13 14	MICHAEL E. STEVENSON Securities Administrator			
15	Approved for entry by:		Presented by:	
<ol> <li>16</li> <li>17</li> <li>18</li> <li>19</li> <li>20</li> <li>21</li> <li>22</li> </ol>	Martin Cordell Martin Cordell Chief of Enforcement		Chad C. Standi Staff Attorney	fer
23 24 25	CONSENT ORDER AS TO RONALD H. MAYFIELD		3	DEPARTMENT OF FINANCIAL INSTITUTIONS Securities Division PO Box 9033 Olympia, WA 98507-9033 360-902-8760