## STATE OF WASHINGTON DEPARTMENT OF FINANCIAL INSTITUTIONS SECURITIES DIVISION

IN THE MATTER OF DETERMINING whether there has been a violation of the Securities Act of Washington by:

SHAWN E. STOLLER; FA MONITORS, INC.,

Order Number S-09-193-09-CO01

CONSENT ORDER AND ORDER VACATING S-09-193-09-TO01

Respondents.

## INTRODUCTION

On July 2, 2009, the Securities Administrator of the State of Washington issued Summary Order Suspending Investment Adviser and Investment Adviser Representative Registration and Notice of Intent to Revoke Registration and Order to Cease and Desist, S-09-193-09-TO01, (hereinafter "Summary Order") against the Respondents Shawn E. Stoller and FA Monitors, Inc. The Securities Division and Respondents do hereby agree to this Consent Order in settlement of the above captioned matter. The Securities Division has, in the Summary Order, made certain allegations and conclusions, set forth under the headings "Tentative Findings of Fact" and "Conclusions of Law". Respondents neither admit nor deny the Tentative Findings of Fact and Conclusions of Law, which are hereby incorporated by reference into this Consent Order.

## **CONSENT ORDER**

Based upon the foregoing and finding it in the public interest:

IT IS AGREED AND ORDERED that Respondent Shawn E. Stoller shall cease and desist from violating RCW 21.20.010.

1

CONSENT ORDER AND ORDER VACATING S-09-193-09-T001

DEPARTMENT OF FINANCIAL INSTITUTIONS Securities Division PO Box 9033 Olympia, WA 98507-9033 360-902-8760 IT IS FURTHER AGREED AND ORDERED that Respondent Shawn E. Stoller shall surrender his

investment adviser representative license as of the date of entry of this Consent Order.

IT IS FURTHER AGREED AND ORDERED that FA Monitors, Inc. shall surrender its investment

adviser license as of the date of entry of this Consent Order.

IT IS FURTHER AGREED AND ORDERED that Respondent Shawn E. Stoller shall not make

application for nor be granted an investment adviser and/or investment adviser representative license by

the Securities Administrator in the State of Washington for a period of 10 years from July 2, 2009, the

date of entry of the Summary Order.

IT IS FURTHER AGREED AND ORDERED that Respondent FA Monitors, Inc. shall not make

application for nor be granted an investment adviser license by the Securities Administrator in the State

of Washington for a period of 10 years from the date of entry of this Consent Order.

IT IS FURTHER AGREED that the Securities Division has jurisdiction to enter this Order.

IT IS FURTHER AGREED that in consideration of the foregoing Respondents Shawn E. Stoller

and FA Monitors, Inc. waive their right to a hearing in this matter and judicial review of this Order, and

the Securities Division hereby vacates Summary Order S-09-193-09-TO01 except to the extent that the

Tentative Findings of Fact and Conclusions of Law in the Summary Order have been incorporated

herein.

WILLFUL VIOLATION OF THIS ORDER IS A CRIMINAL OFFENSE.

//

//

//

2

DEPARTMENT OF FINANCIAL INSTITUTIONS Securities Division PO Box 9033 Olympia, WA 98507-9033

360-902-8760

CONSENT ORDER AND ORDER VACATING S-09-193-09-T001

SIGNED this 27th day of July, 2010.	
Approved for entry by:	
_/s/Sally Garratt, WSBA #7638	-
Attorney for Respondents	
Signed by:	
_/s/	-
Shawn E. Stoller, individually	
Signed by:	
FA Monitors, Inc.	
_/s/_ By: Shawn E. Stoller, President	
SIGNED and ENTERED this4th day	of August, 2010
	_
WILLIAM M. BEATTY Securities Administrator	
Presented by:	
Jill M. Vallely	
Enforcement Attorney	