

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27

**STATE OF WASHINGTON  
DEPARTMENT OF FINANCIAL INSTITUTIONS  
SECURITIES DIVISION**

IN THE MATTER OF DETERMINING  
whether there has been a violation  
of the Securities Act of Washington by:

Willie Louis Jones; Eddie Baker,

Respondents.

Order Number S-10-438-12-CO01

CONSENT ORDER AS TO WILLIE LOUIS JONES

**INTRODUCTION**

On November 9, 2011, the Securities Administrator of the State of the Washington entered a Statement of Charges and Notice of Intent to Enter Order to Cease and Desist, to Impose Fines, and to Charge Costs (“Statement of Charges”), S-10-438-11-SC01, against Respondents, Willie Jones and Eddie Baker. The Securities Division and Respondent, Willie Jones, do hereby enter into this CONSENT ORDER in settlement of the above-captioned matter. Respondent, Willie Jones, neither admits nor denies the Tentative Findings of Fact and Conclusions of Law as set forth in the Statement of Charges, which are hereby incorporated by reference into this Consent Order.

**CONSENT ORDER**

Based upon the foregoing and finding it in the public interest:

IT IS AGREED AND ORDERED that Respondent, Willie Jones, shall cease and desist from violating RCW 21.20.010, the anti-fraud section of the Securities Act of Washington.

IT IS FURTHER AGREED AND ORDERED that Respondent, Willie Jones, shall cease and desist from violating RCW 21.20.040, the securities salesperson and broker-dealer registration section of the Securities Act of Washington.

IT IS FURTHER AGREED AND ORDERED that Respondent, Willie Jones, shall cease and desist from offering or selling securities in violation of RCW 21.20.140, the securities registration section of the Securities Act of Washington.

CONSENT ORDER

1

**DEPARTMENT OF FINANCIAL INSTITUTIONS  
Securities Division  
PO Box 9033  
Olympia, WA 98507-9033  
360-902-8760**

1 IT IS FURTHER AGREED AND ORDERED that Respondent, Willie Jones, shall pay the Securities  
2 Division a fine of \$1,000.

3 IT IS FURTHER AGREED AND ORDERED that Respondent, Willie Jones, shall pay the Securities  
4 Division the costs and other expenses incurred in the investigation of this matter in the amount of \$5,000.

5 IT IS FURTHER AGREED AND ORDERED that the payment of fines, costs and other expenses described  
6 above shall be made as follows: Respondent, Willie Jones, shall make an initial payment of \$4,000 on or before the  
7 entry of this Consent Order, which shall be allocated as payment of the entire fine and \$3,000 of costs and other  
8 expenses; Respondent, Willie Jones, shall then make monthly payments of \$100 for twenty (20) consecutive months  
9 on or before the fifteenth day of the month to pay the remaining \$2,000 of costs and other expenses.

10 IT IS FURTHER AGREED that the Respondent, Willie Jones, enters into this Consent Order freely and  
11 voluntarily and with a full understanding of its terms and significance.

12 IT IS FURTHER AGREED that the Securities Division has jurisdiction to enter this Order.

13 IT IS FURTHER AGREED that in consideration of the foregoing, Respondent, Willie Jones, waives his  
14 rights to a hearing in this matter and judicial review of this order.

15 **WILLFUL VIOLATION OF THIS ORDER IS A CRIMINAL OFFENSE.**

16 SIGNED this 15th day of September, 2012.

17 Approved for Entry by:

18  
19  
20 \_\_\_\_\_  
George Tamblin, Attorney for Respondent  
WSBA No. 15429

21 Signed by:

22  
23 \_\_\_\_\_ /s/  
Willie Jones

24  
25 CONSENT ORDER

2

26 **DEPARTMENT OF FINANCIAL INSTITUTIONS**  
27 **Securities Division**  
**PO Box 9033**  
**Olympia, WA 98507-9033**  
**360-902-8760**

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27

SIGNED and ENTERED this 2nd day of October, 2012



William M. Beatty  
Securities Administrator

Approved by:

Presented by:



Suzanne Sarason  
Chief of Enforcement

Drew Stillman  
Enforcement Attorney

Reviewed by:

Charles Clark  
Financial Legal Examiner Supervisor